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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cano et al.  
Serial No.: 09/612,925  
Filing Date: July 10, 2000  
Title: EXPRESSION SYSTEM OF HETEROLOGOUS ANTIGENS AS FUSION PROTEINS  
Examiner: Devi, S.  
Art Unit: 1645

Attorney Docket No.: LEXSA P-13 div2

U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

TRANSMITTAL

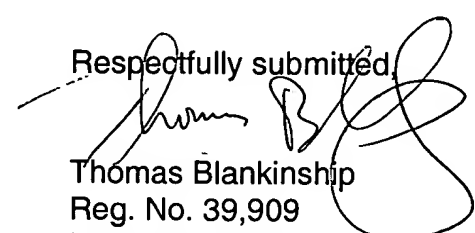
Dear Sir:

Transmitted herewith are:

- (1) an Amendment for the above-identified application;
- (2) a CRF copy of the Sequence Listing;
- (3) a paper copy of the Sequence Listing;
- (4) a copy of the Notice to Comply and CRF Problem Report;
- (5) a copy of the Response mailed on January 18, 2002; and
- (6) a copy of the Response mailed on October 25, 2001.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on July 5, 2002.

Date: July 5, 2002

Respectfully submitted,  
  
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JUL 09 2002

Application No. 09/612,925

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- MAILED**  
**DATE**  
**2002**  
**PT & TRADEMARK**
- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
  - ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
  - ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
  - ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
  - ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
  - ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
  - ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☐ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/612,925
Filing Date	07/10/2000
First Named Inventor	Cano et al.
Group Art Unit	1641
Examiner Name	S. Devi
Attorney Docket Number	LEXSA P-13 div2

Total Number of Pages in This Submission **11**

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Sequence Listing 3.5 Floppy with CFR copy of Sequence Listing
Remarks  		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas Blankinship, Reg. No. 39,909
Signature	
Date	10/25/2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Typed or printed name	Thomas Blankinship		
Signature		Date	10/25/2001

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Inventor: Cano et al  
Docket No.: LEXSA P-13 div 2  
Serial No.: 09/612 925  
Resp. Due: Oct 25 2001  
Date Sent: Oct 25 2001

Sir:

Kindly acknowledge receipt of the accompanying

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| <input type="checkbox"/> _____ Month(s) Ext. of Time    | <input type="checkbox"/> New Application    | <input type="checkbox"/> Notice of Appeal                         |
| <input checked="" type="checkbox"/> Amendment           | <input type="checkbox"/> \$ _____ Check     | <input type="checkbox"/> Assignment                               |
| <input type="checkbox"/> Response                       | <input type="checkbox"/> Base Issue Fee     | <input checked="" type="checkbox"/> Other <u>Sequence Listing</u> |
| <input type="checkbox"/> Response to Final              | <input type="checkbox"/> Balance Issue Fee  | <u>3.5" Floppy Disk</u>   |
| <input type="checkbox"/> Appeal Brief                   | <input type="checkbox"/> Priority Documents | <u>w/ CRF copy of</u>   |
| <input checked="" type="checkbox"/> 37 CFR 1.8(a) Cert. | <input type="checkbox"/> Discl. Statement   | <u>Sequence Listing</u>   |
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